

Express Mail Label No.: EV292544029US
Date of Deposit: July 15, 2003

DOCKET NO.: P00316.70028.US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gordon P. Sharp, et al.
Serial No: Not yet assigned
Confirmation No: Not yet assigned
Filed: Herewith
For: METHODS AND APPARATUS FOR RECIRCULATING AIR
IN A CONTROLLED VENTILATED ENVIRONMENT

Examiner: Not yet assigned
Art Unit: Not yet assigned

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Serial No.: not yet assigned

- 3 -

Art Unit: not yet assigned

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,
Gordon P. Sharp, et al., Applicant(s)

By: 

Robert E. Hunt, Reg. No. 39,231
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, Massachusetts 02210-2211
Telephone: (617) 720-3500

Docket No.: P00316.70028.US

Date: July 15, 2003

XNDDX

FORM PTO-1449/A and B (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICATION NO.: not yet assigned		ATTY. DOCKET NO.: P00316.70028.US (REH)		
		FILING DATE: Herewith.		CONFIRMATION NO.: not yet assigned		
		APPLICANT: Gordon P. Sharp, et al.				
		GROUP ART UNIT: not yet assigned		EXAMINER: not yet assigned		
Sheet	1	of	1			

U.S. PATENT DOCUMENTS

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
	*	2,144,693		Seid	1/1939
	*	2,907,178		McNatt	10/1959
	*	4,437,608		Smith	3/1984
	*	4,528,898		Sharp, et al.	7/16/1985
	*	4,706,553		Sharp, et al.	11/1987
	*	4,942,921		Haessig, et al.	7/1990
	*	5,005,636		Haessig	5/9/1991
	*	5,292,280		Janu, et al.	3/8/1994
	*	5,535,814		Hartman	7/16/1996
	*	5,544,697		Clark	8/1996
	*	5,605,280		Hartman	2/1997
	*	5,643,077		Jacqueline Ayer	7/1/1997
	*	5,862,982		Clifford C. Federspiel	1/26/1999
	*	5,951,394		David Pariseau	9/14/1999
	*	6,006,142		John E. Seem, et al.	12/21/1999
	*	6,161,764		Jatnieks	12/2000

FOREIGN PATENT DOCUMENTS

Examiner's Initials#	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/Country	Number	Kind Code			
	*	WIPO	WO/9409324		Janu, et al.	4/18/1994	N/A

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)

EXAMINER	DATE CONSIDERED
----------	-----------------

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. 09/735,063, filed December 11, 2000, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]